



Unified Carrier Registration Plan
Audit Subcommittee Meeting
December 19, 2018 (2:00PM – 4:00 PM EST)
By Telephone: 1(866) 210-1669 | Passcode 525 3902
Agenda

1. Establish Desired Outcomes from Meeting (Mike Hoeme)
2. Discuss Status of 2017-2018 Audit of Depository (David Scholz)
 - a. Present & discuss responses from Request For Proposal (RFP) for audit services
 - b. Potential timing for audit fieldwork and related opinion
3. State Compliance Reviews (Mike Hoeme & David Scholz)
 - a. Four (4) states selection for 2019
 - b. Timing for each review
 - c. Review criteria (written plan)
 - d. Review team
 - e. Management & Coordination
4. National Registration System (NRS) Audit (David Scholz & Kellen Chambers)
5. Audit Requirements for States with Independent Systems (Mike Hoeme & David Scholz)
6. National Registration System – State Audit Features and Functions (Toby Piquet)
7. 2019 State Auditor Training (Mike Hoeme & Jon Krueger)
8. Wrap-up (Mike Hoeme)