



Unified Carrier Registration Plan

Audit Subcommittee Meeting

April 14, 2022
12:00 p.m. - 2:00 p.m. ET

Zoom Meeting and Screenshot

Meeting URL: <https://kellen.zoom.us/join/95874036554>

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Meeting ID: 958 7403 6554

Subcommittee Members

Mike Hoeme, Chair

Ryan Nance, Vice-Chair

William Debord

Suzanne Stillwell

Eric Belford

Amanda Shehane

Verna Jackson

Rod Moser

Jessica Kines

Inga Johnson

Abe Droste

Debbie Garcia

**UNIFIED CARRIER REGISTRATION PLAN
AUDIT SUBCOMMITTEE MEETING
April 14, 2022**

AGENDA

- I. Call to Order** – Audit Subcommittee Chair
The Audit Subcommittee Chair will welcome attendees, call the meeting to order, call roll for the Audit Subcommittee, confirm whether a quorum is present, and facilitate self-introductions.
- II. Verification of Publication of Meeting Notice** – UCR Executive Director
The UCR Executive Director will verify the publication of the meeting notice on the UCR website and distribution to the UCR contact list via e-mail followed by the subsequent publication of the notice in the *Federal Register*.
- III. Review and Approval of Subcommittee Agenda and Setting of Ground Rules** – Audit Subcommittee Chair
For Discussion and Possible Audit Subcommittee Action
The Agenda will be reviewed, and the Subcommittee will consider adoption of the agenda.
- Ground Rules
➤ Subcommittee action only to be taken in designated areas on the agenda.
- IV. Review and Approval of Subcommittee Minutes from the December 2, 2021 Meeting** – Audit Subcommittee Chair
For Discussion and Possible Subcommittee Action
Draft minutes from the December 2, 2021 Subcommittee meeting via teleconference will be reviewed. The Subcommittee will consider action to approve.
- V. Proposal to Revise the UCR Handbook Regarding Calculating Fees and New Market Entrants** – Audit Subcommittee Chair
For Discussion and Possible Subcommittee Action
The Audit Subcommittee Chair will discuss potential revisions to the UCR Handbook regarding terminology used for calculating the UCR fees based on the 6-tier system, and new entrants into the market. The Audit Subcommittee may consider action to recommend revisions to the UCR Board of the UCR Handbook calculating UCR fees and when new market entrants are subject to payment of UCR.
- VI. Proposal to Revise the Relevant Time-Period Described in the UCR Handbook** – Audit Subcommittee Chair
For Discussion and Possible Subcommittee Action
The Audit Subcommittee Chair will discuss potential revisions to the UCR Handbook regarding the relevant time-period to use for determination of the size of a carrier fleet, for purposes of its UCR tier used to register for UCR. The Audit Subcommittee may consider action to recommend revisions to the UCR Board of the UCR Handbook regarding relevant time-period to use for determination of the size of a carrier fleet for purposes of its UCR tier used to register for UCR.

- VII. Proposal to Revise the UCR Handbook Regarding Lightweight or Other Non-Commercial Motor Vehicles** – Audit Subcommittee Chair
For Discussion and Possible Subcommittee Action
The Audit Subcommittee Chair will discuss potential revisions to the UCR Handbook regarding the definition of lightweight or other non-commercial motor vehicles. The Audit Subcommittee may consider action to recommend revisions of the UCR Handbook to the UCR Board regarding lightweight or other non-commercial motor vehicles.
- VIII. Maximizing the Value of the Shadow MCMIS Tools** – Audit Subcommittee Chair and DSL Transportation Services, Inc. (“DSL”)
The Subcommittee Chair and DSL will provide an update on the value achieved by utilizing the Shadow MCMIS tools in the National Registration System. The discussion will highlight the financial value to the states by vetting businesses for UCR compliance, commercial registration, IFTA, intrastate, and interstate operating authority.
- IX. Review States’ Audit Compliance Rates for Registration Years 2021 and 2022** - UCR Audit Subcommittee Chair
The UCR Subcommittee Chair will review audit compliance rates for the states for registration years 2021 and 2022 and related compliance percentages for Focused Anomaly Reviews (“FARs”) Module, retreat audits and registration compliance percentages.
- X. Open Discussion Regarding Ways and Means to Increase UCR Registration Percentages** – Audit Subcommittee Chair
The Audit Subcommittee Chair will lead a discussion to share state resources (auditors and other contacts), leveraging partner relationships, auditing tools, and other ideas to increase UCR registration percentages to promote compliance and fairness within the industry.
- XI. Introduction of the New UCR Depository Auditors** – UCR Depository Manager
The UCR Depository Manager will lead a discussion to introduce the new independent auditing firm, Warren Averett CPAs and Advisors, to the Audit Subcommittee. The Audit Subcommittee is the UCR subcommittee which has governance oversight for the Depository audit process.
- XII. Other Items** – Audit Subcommittee Chair
The UCR Audit Subcommittee Chair will call for any other items Subcommittee members would like to discuss.
- XIII. Adjournment** – Audit Subcommittee Chair
The UCR Audit Subcommittee Chair will adjourn the meeting.